

General information about company	
Scrip code	532737
NSE Symbol	EMKAY
MSEI Symbol	NOTLISTED
ISIN	INE296H01011
Name of the company	Emkay Global Financial Services Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2023
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Declaration					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Yes		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

Table VI - Statement showing foreign ownership limits		
Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	0	0
As on the end of previous 1st quarter	0	0
As on the end of previous 2nd quarter	0	0
As on the end of previous 3rd quarter	0	0
As on the end of previous 4th quarter	0	0

Table I - Summary Statement holding of specified securities											
Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	7	18353984			18353984	74.49	18353984		18353984	74.49
(B)	Public	12954	6286246			6286246	25.51	6286246		6286246	25.51
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	12961	24640230			24640230	100	24640230		24640230	100

Table I - Summary Statement holding of specified securities													
Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
(A)	Promoter & Promoter Group				74.49			4851484	26.43	18353984			
(B)	Public				25.51					6282239	0	0	0
(C)	Non Promoter-Non Public												
(C1)	Shares underlying DRs												
(C2)	Shares held by Employee Trusts												
	Total				100			4851484	19.69	24636223	0	0	0

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	6	13502500			13502500	54.8	13502500		13502500	54.8
(d)	Any Other (specify)	1	4851484			4851484	19.69	4851484		4851484	19.69
Sub-Total (A)(1)		7	18353984			18353984	74.49	18353984		18353984	74.49
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		7	18353984			18353984	74.49	18353984		18353984	74.49
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions (Domestic)										
(i)	NBFCs registered with RBI	1	2700			2700	0.01	2700		2700	0.01
Sub-Total (B)(1)		1	2700			2700	0.01	2700		2700	0.01
(2)	Institutions (Foreign)										
(d)	Foreign Portfolio Investors Category I	2	187806			187806	0.76	187806		187806	0.76
Sub-Total (B)(2)		2	187806			187806	0.76	187806		187806	0.76
(3)	Central Government / State Government(s)										
(4)	Non-institutions										
(c)	Key Managerial Personnel	1	100			100	0	100		100	0
(f)	Investor Education and Protection Fund (IEPF)	1	13194			13194	0.05	13194		13194	0.05
(g)	Resident Individuals holding nominal	12416	3058474			3058474	12.41	3058474		3058474	12.41

	share capital up to Rs. 2 lakhs										
(h)	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs	18	1388264			1388264	5.63	1388264		1388264	5.63
(i)	Non Resident Indians (NRIs)	180	202335			202335	0.82	202335		202335	0.82
(l)	Bodies Corporate	72	745708			745708	3.03	745708		745708	3.03
(m)	Any Other (specify)	263	687665			687665	2.79	687665		687665	2.79
Sub-Total (B)(4)		12951	6095740			6095740	24.74	6095740		6095740	24.74
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)		12954	6286246			6286246	25.51	6286246		6286246	25.51
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		12961	24640230			24640230	100	24640230		24640230	100
Total (A+B+C)		12961	24640230			24640230	100	24640230		24640230	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group															
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+ (X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares					
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)			
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group														
(1)	Indian														
(a)				54.8			0	0	13502500						
(d)				19.69			4851484	100	4851484						
Sub-Total (A)(1)				74.49			4851484	26.43	18353984						
(2)	Foreign														
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2)				74.49			4851484	26.43	18353984						
B	Table III - Statement showing shareholding pattern of the Public shareholder														
(1)	Institutions (Domestic)														
(i)				0.01					2700				0	0	0
Sub-Total (B) (1)				0.01					2700				0	0	0
(2)	Institutions (Foreign)														
(d)				0.76					187806				0	0	0
Sub-Total (B) (2)				0.76					187806				0	0	0
(3)	Central Government / State Government(s)														
(4)	Non-institutions														
(c)				0					100	0	0	0			

(f)				0.05					13194	0	0	0
(g)				12.41					3054467	0	0	0
(h)				5.63					1388264	0	0	0
(i)				0.82					202335	0	0	0
(l)				3.03					745708	0	0	0
(m)				2.79					687665	0	0	0
Sub-Total (B) (4)				24.74					6091733	0	0	0
Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B) (3)+(B)(4)				25.51					6282239	0	0	0
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder											
Total (A+B+C2)				100					24636223			
Total (A+B+C)				100			4851484	19.69	24636223			
Disclosure of notes on shareholding pattern								Textual Information(1)				

Text Block	
Textual Information()	Nomination, Remuneration and Compensation Committee of the Company at its meeting held on 26th December, 2023 had allotted 26,700 Equity Shares under Employee Stock option Plan(ESOP) -2007 and 9,846 Equity Shares under ESOP -2018 Scheme against exercise of stock options. The company has already filed Corporate Action with NSDL and CDSL for giving effect to the Issuance and allotment of 36,546 Equity Shares in the Shareholding pattern. The increase in subscribed and paid-up capital will reflect from next Quarter post Corporate Action and Listing of the Shares.

Individuals/Hindu undivided Family						
Searial No.	1	2	3	4	5	6
Name of the Shareholders (I)	Krishna Kumar Karwa	Prakash Kacholia	Priti Prakash Kacholia	Raunak Karwa	Krishna Kumar Karwa HUF	Murlidhar Karwa HUF
PAN (II)	AACPK9149E	AADPK7247C	AADPK7245A	ACVPK0232B	AACHK2851D	AABHM6186L
No. of fully paid up equity shares held (IV)	4922500	4750000	1880000	1750000	100000	100000
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4922500	4750000	1880000	1750000	100000	100000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	19.98	19.28	7.63	7.1	0.41	0.41
Number of Voting Rights held in each class of securities (IX)						
Class eg:X	4922500	4750000	1880000	1750000	100000	100000
Class eg:y						
Total	4922500	4750000	1880000	1750000	100000	100000
Total as a % of Total Voting rights	19.98	19.28	7.63	7.1	0.41	0.41
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding						

convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	19.98	19.28	7.63	7.1	0.41	0.41
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)	0	0	0	0	0	0
As a % of total Shares held (b)	0	0	0	0	0	0
Number of equity shares held in dematerialized form (XIV)	4922500	4750000	1880000	1750000	100000	100000
Reason for not providing PAN						
Reason for not providing PAN						
Shareholder type	Promoter	Promoter	Promoter	Promoter	Promoter	Promoter

Individuals/Hindu undivided Family	
Searial No.	
Name of the Shareholders (I)	Click here to go back
PAN (II)	Total
No. of fully paid up equity shares held (IV)	13502500
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	13502500
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	54.8
Number of Voting Rights held in each class of securities (IX)	
Class eg:X	13502500
Class eg:y	
Total	13502500
Total as a % of Total Voting rights	54.8
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible	

securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	54.8
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of Shares pledged or otherwise encumbered (XIII)	
No. (a)	0
As a % of total Shares held (b)	0
Number of equity shares held in dematerialized form (XIV)	13502500
Reason for not providing PAN	
Reason for not providing PAN	
Shareholder type	

Any Other (specify)		
Searial No.	1	
Category	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	Emkay Corporate Services Pvt.Ltd	
PAN (II)	AAACE0995M	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	4851484	4851484
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4851484	4851484
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	19.69	19.69
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	4851484	4851484
Class eg:y		
Total	4851484	4851484
Total as a % of Total Voting rights	19.69	19.69
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying		

Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	19.69	19.69
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)	4851484	4851484
As a % of total Shares held (b)	100	100
Number of equity shares held in dematerialized form (XIV)	4851484	4851484
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter Group	

Bodies Corporate		
Searial No.	1	
Name of the Shareholders (I)	Clover Infotech Private Limited	Click here to go back
PAN (II)	AABCC7544B	Total
No. of fully paid up equity shares held (IV)	283856	283856
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	283856	283856
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.15	1.15
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	283856	283856
Class eg:y		
Total	283856	283856
Total as a % of Total Voting rights	1.15	1.15
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible		

securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.15	1.15
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	283856	283856
Reason for not providing PAN		
Reason for not providing PAN		
Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		
Searial No.	1	
Name of the Shareholders (I)	Rajiv P Shroff	Click here to go back
PAN (II)	AARFM5001G	Total
No. of fully paid up equity shares held (IV)	658770	658770
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	658770	658770
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.67	2.67
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	658770	658770
Class eg:y		
Total	658770	658770
Total as a % of Total Voting rights	2.67	2.67
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible		

securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.67	2.67
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	658770	658770
Reason for not providing PAN		
Reason for not providing PAN		
Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0

significant beneficial owners															
Sr. No.	Details of the SBO					Details of the registered owner					Details of holding/ exercise of right of the SBO the reporting company, whether direct or indirect				
	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:				
											Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence
1	Krishna Kumar Karwa	AACPK9149E		India		Emkay Corporate Services Pvt. Ltd	AAACE0995M		India		9.85	9.85	9.85	Yes	Yes
Total:											9.85	9.85	9.85		
2	Prakash Kacholia	AADPK7247C		India		Emkay Corporate Services Pvt. Ltd	AAACE0995M		India		9.85	9.85	9.85	Yes	Yes
Total:											9.85	9.85	9.85		

